

**TRANSMITTAL LETTER**  
**(General - Patent Pending)**

Docket No.  
113380-005

In Patent Application Of: Norikazu It , et al.

Serial No.  
10/607,166

Filing Date  
June 26, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title: **APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DATA, AND AV SERVER**

TO THE COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**Transmittal of Information Disclosure Statement (duplicate); Information Disclosure Statement (2 pgs.); PTO Form 1449; and Return receipt postcard.**

in the above identified application.

- ☒ No additional fee is required.
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*Signature*

Dated: September 17, 2003

Christopher S. Hermanson  
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I certify that this document and fee is being deposited on 09-17-2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*Signature of Person Mailing Correspondence*

**Robert J. Buccieri**

*Typed or Printed Name of Person Mailing Correspondence*

CC:

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
113380-005

SEP 22 2003

In Re Application Of: Norikazu Ito, et al.

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10/607,166

Filing Date  
June 26, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

Title: APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DATA, AND AV SERVER

Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR
- ☐ the fee set forth in 37 CFR 1.17(p).

SEP 22 2003  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
113380-005

In Re Application: Norikazu Ito, et al.

Serial No.  
10/607,166

Filing Date  
June 26, 2003

Examiner  
Unknown

Group Art Unit  
Unknown

**APPARATUS AND METHOD FOR RECORDING AND REPRODUCING DATA, AND AV SERVER**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **02-1818**  
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- ☐ Charge the amount of \_\_\_\_\_
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- ☒ Charge any additional fee required.

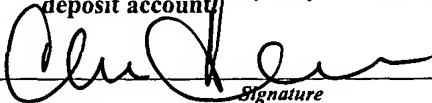
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<b>Robert J. Buccieri</b> Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

  
Signature

Dated: September 17, 2003

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Norikazu Ito, et al.  
Appl. No.: 10/607,166  
Filed: June 26, 2003  
Title: APPARATUS AND METHOD FOR RECORDING AND REPRODUCING  
DATA, AND AV SERVER  
Art Unit: Unknown  
Examiner: Unknown  
Docket No.: 113380-005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/355,470, filed on August 30, 1999, be made during the course of examination of the above-identified application for United States patent.

**FOREIGN PATENT DOCUMENTS**

<u>Document No.</u>	<u>Date</u>	<u>Country</u>
5-189257	07-30-93	Japan
7-38854	02-07-95	Japan
7-235143	09-05-95	Japan
7-235151	09-05-95	Japan
9-149354	06-06-97	Japan
9-200673	07-31-97	Japan
9-282801	10-31-97	Japan
9-320202	12-12-97	Japan
9-330178	12-22-97	Japan

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & MLOYD LLC

BY



Christopher S. Hermanson

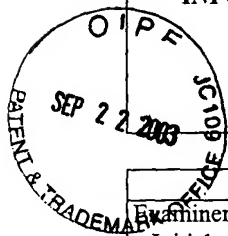
Reg. No. 48,244

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Dated: September 17, 2003



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b> (Use several sheets if necessary)  PTO Form 1449	Atty Docket No. 113380-005	Application No. 10/607,166
	Applicant Norikazu Ito et al.	
	Filing Date june 26, 2003	Group Unknown

U.S. PATENT DOCUMENTS						
Examiner's Initials	Document Number	Publication Date	Inventor	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
Examiner's Initials	Document Number	Publication Date	Country	Class	Subclass	Translation	
						Yes	No
	5-189257	07-30-93	Japan				
	7-38854	02-07-95	Japan				
	7-235143	09-05-95	Japan				
	7-235151	09-05-95	Japan				
	9-149354	06-06-97	Japan				
	9-200673	07-31-97	Japan				
	9-282801	10-31-97	Japan				
	9-320202	12-12-97	Japan				
	9-330178	12-22-97	Japan				

Examiner's Initials	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	

Examiner:	Date Considered:
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	